

Form PTO-1449 (modified)
List of Patents and Publications
For Applicant's Information
Disclosure Statement

ATTY. DOCKET NO.
STFD 009-1

SERIAL NO.
Not yet assigned
(Continuation of 08/688,488)

APPLICANTS: P.O. Brown and T.D. Shalon

FILING DATE
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GROUP
1634



U.S. PATENT DOCUMENTS

EXAM. INIT.	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
BF	A1	3,730,844	05/01/73	Gilham, et al.	195	103.5R	
BF	A2	4,071,315	01/31/78	Chateau	23	230B	
BF	A3	4,486,539	12/04/84	Ranki, et al.	436	504	
BF	A4	4,556,643	12/03/85	Paau, et al.	436	501	
BF	A5	4,563,419	01/07/86	Ranki, et al.	435	6	
BF	A6	4,670,380	06/02/87	Dattagupta	435	6	

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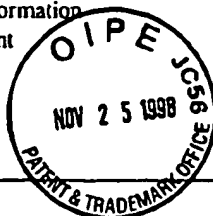
EXAM. INIT.	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
BF	B1	WO 90/03382	04/05/90	PCT			
BF	B2	WO 95/00530	01/05/95	PCT			
BF	B3	WO 95/15970	06/15/95	PCT			

OTHER ART (Author, Title, Journal, Volume, Pertinent Pages, & Date)

BF	C1	Billings <i>et al.</i> , "New Techniques for Physical Mapping of the Human Genome," <i>FASEB</i> , 5:28-34 (1991).					
BF	C2	Chee, <i>et al.</i> , "Accessing Genetic Information with High-Density DNA Arrays", <i>Science</i> , 274:610-614 (1996).					
BF	C3	Drmanac <i>et al.</i> , "DNA Sequence Determination by Hybridization: A Strategy for Efficient Large-Scale Sequencing," <i>Science</i> , 260:1649-1652 (1993).					
BF	C4	Drmanac <i>et al.</i> , "Laboratory Methods: Reliable Hybridization of Oligonucleotides as Short as Six Nucleotides," <i>DNA and Cell Biology</i> , 9:527-534 (1990).					
BF	C5	Drmanac <i>et al.</i> , "Sequencing by Hybridization: Towards an Automated Sequencing of One Million M13 Clones Arrayed on Membranes," <i>Electrophoresis</i> , 13:566-573 (1992).					
BF	C6	Ekins, <i>et al.</i> , "Multianalyte Immunoassay: The Immunological 'Compact Disk' of the Future", <i>J. Clinical Immunoassay</i> , 13(4):169-181 (1990).					

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EXAM. INIT.	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
BF	A7	4,677,054	06/30/87	White et al.	435	6	
BF	A8	4,683,195	07/28/87	Mullis, et al.	435	6	
BF	A9	4,683,202	07/28/87	Mullis	435	91	
BF	A10	4,716,106	12/29/87	Chiswell	435	6	
BF	A11	4,731,325	03/15/88	Palva, et al.	435	6	
BF	A12	4,755,458	07/05/88	Rabbani, et al.	435	5	

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EXAM. INIT.	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
BF	B4	WO 95/21944	08/17/95	PCT			
BF	B5	WO 95/25116	9/21/95	PCT			
BF	B6	WO 96/17958	06/13/96	PCT			

OTHER ART (Author, Title, Journal, Volume, Pertinent Pages, & Date)

BF	C7	Fodor et al., "Light-Directed, Spatially Addressable Parallel Chemical Synthesis," <i>Science</i> , <u>251</u> :767-773 (1991).
BF	C8	Guo, et al., "Direct Fluorescence Analysis of Genetic Polymorphisms by Hybridization with Oligonucleotide Arrays on Glass Supports", <i>Nucleic Acids Research</i> , <u>22</u> :5456-5465 (1994).
BF	C9	Johnston, et al., "Chemistry of High Density Arrays: Factors Impacting Issues of Complexity", (Abstract) <i>Microbial & Comparative Genomics</i> , <u>1</u> :235 (1996).
BF	C10	Kallioniemi et al., "Comparative Genomic Hybridization for Molecular Cytogenetic Analysis of Solid Tumors," <i>Science</i> , <u>258</u> :818-821 (1992).
BF	C11	Kallioniemi et al., "Optimizing Comparative Genomic Hybridization for Analysis of DNA Sequence Copy Number Changes in Solid Tumors," <i>Genes, Chromosomes & Cancer</i> , <u>10</u> :231-243 (1994).
BF	C12	Khrapko et al., "A Method for DNA Sequencing by Hybridization with Oligonucleotide Matrix," <i>DNA Sequencing and Mapping</i> , <u>1</u> :375-388 (1991).

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02/08/2006

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BF	A13	4,767,700	08/30/88	Wallace	435	6	
BF	A14	4,868,104	09/19/89	Kurn, et al.	435	6	
BF	A15	4,868,105	09/19/89	Urdea, et al.	435	6	
BF	A16	4,921,805	05/01/90	Gebeyehu, et al.	435	270	
BF	A17	4,981,783	01/01/91	Augenlicht	435	6	
BF	A18	5,013,669	05/07/91	Peters, Jr. et al.	436	518	

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EXAM. INIT.	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
BF	B7	EPO 721016A2	07/10/96	EPO			
BF	B8	WO 92/10588	06/25/92	PCT			
BF	B9	WO 93/22680	11/11/93	PCT			

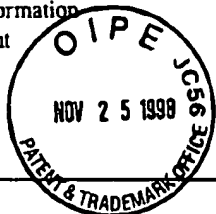
OTHER ART (Author, Title, Journal, Volume, Pertinent Pages, & Date)

BF	C13	Kozal, et al., "Extensive Polymorphisms Observed in HIV-1 Clade B Protease Gene using High-Density Oligonucleotide Arrays", <i>Nature Medicine</i> , 2:753-759 (1996).
BF	C14	Kreiner, "Rapid Genetic Sequence Analysis Using a DNA Probe Array System," <i>American Laboratory</i> (March 1996).
BF	C15	Lehrach et al., "Hybridization Fingerprinting in Genome Mapping and Sequencing," in <i>Genome Analysis</i> , Volume I: Genetic and Physical Mapping. (K.E. Davies & S.M. Tilgham, Eds.) Cold Spring Harbor Laboratory Press, pp. 39-81 (1990).
BF	C16	Lennon et al., "Hybridization Analyses of Arrayed cDNA Libraries," <i>Trends In Genetics</i> , 7:314-317 (1991).
BF	C17	Maskos, et al., "A Study of Oligonucleotide Reassociation Using Large Arrays of Oligonucleotides Synthesised on a Glass Support", <i>Nucleic Acids Research</i> , 21:4663-4669 (1993)
BF	C18	Medlin, "The Amazing Shrinking Laboratory", <i>Environmental Health Perspectives</i> , 103:244-246 (1995)

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BF	A19	5,028,545	07/02/91	Soini	436	501	
BF	A20	5,064,754	11/12/91	Mills	435	6	
BF	A21	5,091,652	02/25/92	Mathies, et al.	250	458.1	
BF	A22	5,100,777	03/31/92	Chang	435	7.24	
BF	A23	5,143,854	09/01/92	Pirrung et al.	436	518	
BF	A24	5,185,243	02/09/93	Ullman, et al.	435	6	

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EXAM. INIT.	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
BF	B10	WO 89/10977	05/02/89	PCT			
BF	B11	WO 93/09668	05/27/93	PCT			
BF	B12	WO 95/11995	05/04/95	PCT			

OTHER ART (Author, Title, Journal, Volume, Pertinent Pages, & Date)

BF	C19	Nguyen <i>et al.</i> , "Differential Gene Expression in the Murine Thymus Assayed by Quantitative Hybridization of Arrayed cDNA Clones," <i>Genomics</i> , 29:207-216 (1995).					
BF	C20	Nowak, "Entering the Postgenome Era", <i>Science</i> , 270:368-369 (1995).					
BF	C21	Pease, <i>et al.</i> , "Light-generated Oligonucleotide Arrays for Rapid DNA Sequence Analysis", <i>Proc. Natl. Acad. Sci. USA</i> , 91:5022-5026 (May 1994).					
BF	C22	Pictu, <i>et al.</i> , "Novel Gene Transcripts Preferentially Expressed in Human Muscles Revealed by Quantative Hybridization of a High Density cDNA Array", <i>Genome Research</i> , 6:492-503 (1996).					
BF	C23	Regalado, "DNA - Chips in Genomics", <i>Start Up</i> , 1:24-30 (1996).					
BF	C24	Sambrook, <i>et al.</i> , "Molecular Cloning, A Laboratory Manual", <i>Cold Spring Harbor Press</i> , pp.7.39-7.52 (1989).					

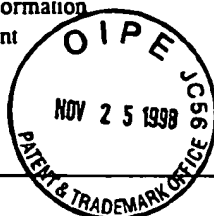
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BF	A25	5,188,963	02/23/93	Stapleton	435	299	
BF	A26	5,200,312	04/06/93	Oprandy	435	5	
BF	A27	5,202,231	04/13/93	Drmanac, et al.	435	6	
BF	A28	5,204,268	04/20/93	Matsumoto	436	44	
BF	A29	5,242,974	09/07/93	Holmes	525	54.11	
BF	A30	5,252,296	10/12/93	Zuckerman, et al.	422	116	

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EXAM. INIT.	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
BF	B13	WO 97/10365	03/20/97	PCT			
BF	B14	EP 0717113 A2	06/19/96	EPO			
BF	B15	GB 2,248,840	06/07/90	United Kingdom			

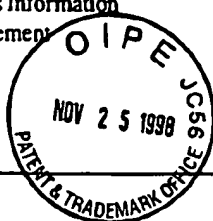
OTHER ART (Author, Title, Journal, Volume, Pertinent Pages, & Date)

BF	C25	Schena, et al., "Structure of Homeobox-Leucine Zipper Genes Suggests a Model for the Evolution of Gene Families", <i>Proc. Natl. Acad. Sci. USA</i> , 91:8393-8397 (Aug. 1994).
BF	C26	Schena, "Genome Analysis with Gene Expression Microarrays", <i>BioEssays</i> , 18:427-431 (1996).
BF	C27	Schena, et al., "Quantitative Monitoring of Gene Expression Patterns with a Complementary DNA Microarray," <i>Science</i> , 270:467-470 (1995).
BF	C28	Schena, et al., "Parallel Human Genome Analysis: Microarray-based Expression Monitoring of 1000 Genes", <i>Proc. Natl. Acad. Sci. USA</i> , 93:10614-10619 (Oct. 1996).
BF	C29	Schena, et al., "The HAT4 Gene of <i>Arabidopsis</i> Encodes a Developmental Regulator", <i>Genes & Development</i> , 7:367-379 (1993).
BF	C30	Schena, et al., "HD-Zip Proteins: Members of an <i>Arabidopsis</i> Homeodomain Protein Superfamily", <i>Proc. Natl. Acad. Sci. USA</i> , 89:3894-3898 (May 1992).

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BF	A31	5,328,824	07/12/94	Ward, et al.	435	6	
BF	A32	5,338,688	08/16/94	Deeg, et al.	436	180	
BF	A33	5,348,855	09/20/94	Dattagupta, et al.	435	6	
BF	A34	5,389,512	02/14/95	Sninsky, et al.	435	5	
BF	A35	5,434,049	07/18/95	Okano, et al.	435	6	
BF	A36	5,445,934	08/29/95	Fodor, et al.	435	6	

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BF	C31	Schober, et al., "Accurate High-Speed Liquid Handling of Very Small Biological Samples", <i>Biotechniques</i> , <u>15</u> (2):324-329 (1993).
BF	C32	Shalon, "DNA Micro Arrays: A New Tool for Genetic Analysis" (Dec. 1995) (Ph.D. Thesis, Stanford University).
BF	C33	Shalon, et al., "A DNA Microarray System for Analyzing Complex DNA Samples Using Two-Color Fluorescent Probe Hybridization", <i>Genome Research</i> , <u>6</u> :639-645 (July 1996).
BF	C34	Southern, et al., "Analyzing and Comparing Nucleic Acid Sequences by Hybridization to Arrays of Oligonucleotides: Evaluation Using Experimental Models", <i>Genomics</i> , <u>13</u> :1008-1017 (1992).
BF	C35	Woolley, et al., "Ultra-high-speed DNA Fragment Separations Using Microfabricated Capillary Array Electrophoresis Chips", <i>Proc. Natl. Acad. Sci. USA</i> , <u>91</u> :11348-11352 (Nov. 1994).
BF	C36	Zhao et al., "High-Density cDNA Filter Analysis: A Novel Approach for Large-Scale, Quantitative Analysis of Gene Expression," <i>Science</i> , <u>156</u> :207-213 (1995).

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BF	A37	5,472,842	12/05/95	Stokke et al.	435	6	
BF	A38	5,474,895	12/12/95	Ishii, et al.	435	6	
BF	A39	5,510,270	04/23/96	Fodor, et al.	436	518	
BF	A40	5,512,430	04/30/96	Gong	435	5	
BF	A41	5,514,543	05/07/96	Grossman, et al.	435	6	
BF	A42	5,514,785	05/07/96	Van Ness, et al.	536	22.1	

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OTHER ART (Author, Title, Journal, Volume, Pertinent Pages, & Date)

BF	C37	Hewlett-Packard, "Peripherals Index," from <i>HP Fax Information Retrieval Support Technology</i> , Phone No. 1-800-333-1917, 6 pages.
BF	C38	Hewlett-Packard, "Document #9651", from <i>HP Fax Information Retrieval Support Technology</i> , Phone No. 1-800-333-1917, 3 pages.
BF	C39	Hewlett-Packard, "Document #5322", from <i>HP Fax Information Retrieval Support Technology</i> , Phone No. 1-800-333-1917, 5 pages.
BF	C40	Hewlett-Packard, "Document #5330," from <i>HP Fax Information Retrieval Support Technology</i> , Phone No. 1-800-333-1917, 5 pages.
BF	C41	NIH grant application of P.O. Brown submitted 1992.
BF	C42	J.A., "Putting Genes on a Chip", <i>Science</i> , 264: (1994)

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BF	A43	5,516,641	05/14/96	Ullman, et al.	435	6	
BF	A44	5,518,883	05/21/96	Soini	435	6	
BF	A45	5,545,531	08/13/96	Rava, et al.	435	6	
BF	A46	5,556,748	09/17/96	Douglas	435	6	
BF	A47	5,556,752	09/17/96	Lockhart, et al.	435	6	
BF	A48	5,578,832	11/26/96	Trulson, et al.	250	458.1	

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BF	C43	Eggers, <i>et al.</i> , "A Microchip for Quantitative Detection of Molecules Utilizing Luminescent and Radioisotope Reporter Groups," <i>BioTechniques</i> , 17:516-525 (Sept. 1994).					
BF	C44	De Risi, <i>et al.</i> , "Use Of a cDNA Microarray to Analyse Gene Expression Patterns In Human Cancer," <i>Nature Genetics</i> , 14:457-460 (Dec. 1996).					
BF	C45	McGall, <i>et al.</i> , "The Efficiency of Light-Directed Synthesis of DNA Arrays on Glass Substrates", <i>J. Am. Chem. Soc.</i> , 119:5081-5090 (1997).					
BF	C46	Pirrung, <i>et al.</i> , "Comparison of Methods for Photochemical Phosphoramidite-Based DNA Synthesis," <i>J. Org. Chem.</i> , 60:6270-6276 (1995).					

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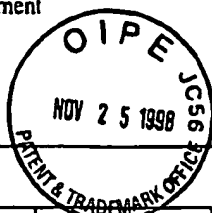
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BF	A49	5,412,087	05/02/95	McGall, et al.	536	24.3	
BF	A50	5,474,796	12/12/95	Brennan	427	2.13	
BF	A51	5,563,060	10/08/96	Hozier	435	240.23	
BF	A52	4,591,570	05/27/86	Chang	436	518	
BF	A53	5,605,662	02/25/97	Heller, et al.	422	68.1	
BF	A54	5,200,051	04/06/93	Cozzette, et al.	204	403	

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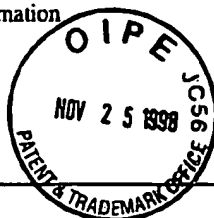
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BF	A55	5,252,743	10/12/93	Barrett, et al.	548	303.7	
BF	A56	5,744,305	04/28/98	Fodor, et al.	435	6	
BF	A57	5,800,992	09/01/98	Fodor, et al.	435	6	
BF	A58	5,795,716	08/18/98	Chee, et al.	435	6	
BF	A59	5,677,195	10/14/97	Winkler, et al.	436	518	
BF	A60	5,665,549	09/09/97	Pinkel, et al.	435	6	
BF	A61	5,830,645	11/03/98	Pinkel, et al.	435	6	

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.